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# Fundamental Approximate Identities and Quasi-multipliers of Simple $AF C^*$ -Algebras

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We study  $C^*$ -algebras with fundamental approximate identities as a generalization of stable  $C^*$ -algebras, and show that the only separable, simple  $AF C^*$ -algebras for which the quasi-multipliers equal the left plus right multipliers are unital or elementary. © 1988 Academic Press, Inc.

## 1. INTRODUCTION

Let  $A$  be a  $C^*$ -algebra and  $A^{**}$  its enveloping Von Neumann algebra. An element  $x \in A^{**}$  is a multiplier of  $A$  if  $xa, ax \in A$  for all  $a \in A$ ,  $x$  is a right multiplier if  $ax \in A$  for all  $a \in A$ , and  $x$  is a quasi-multiplier if  $axb \in A$  for all  $a, b \in A$ . We denote the multipliers, left multipliers, right multipliers, and quasi-multipliers by  $M(A)$ ,  $LM(A)$ ,  $RM(A)$ , and  $QM(A)$ , respectively.

The question was raised by Akemann and Pedersen [1] whether  $QM(A) = LM(A) + RM(A)$ . This problem has been studied in [6, 15, 16]. For example, it has been shown in [15] that a separable stable  $C^*$ -algebra  $A$  satisfies  $QM(A) = LM(A) + RM(A)$  if and only if  $A$  is scattered and  $\lambda(\hat{A}) < \infty$  (we will define this later). In [6], L. G. Brown showed the connection between this problem and the problem of perturbations of  $C^*$ -algebras. In this paper, fundamental approximate identity has been studied, and, using that, we give some generalization of the result mentioned above. Moreover, we answer the quasi-multiplier question for separable simple  $AF C^*$ -algebras. We also give applications for perturbation problems.

## 2. FUNDAMENTAL APPROXIMATE IDENTITIES

Let  $A$  be a  $C^*$ -algebra and  $p, q$  projections in  $A$ . We say  $p \lesssim q$  if there is  $u \in A$  such that  $uu^* = p$  and  $u^*u \leq q$ .

DEFINITION 1. Let  $A$  be a  $C^*$ -algebra. An approximate identity  $\{e_n\}$  consisting of projections is fundamental if  $0 \leq e_{n+1} - e_n \leq e_n - e_{n-1}$  ( $e_0 = 0$ ).

If  $K$  denotes the  $C^*$ -algebra consisting of all compact operators on the separable Hilbert space, then  $K$  has a fundamental approximate identity. More generally, if  $A$  is a unital  $C^*$ -algebra, then  $A \otimes K$  has a fundamental approximate identity. It is easy to see that  $A \otimes K$  contains a  $C^*$ -subalgebra  $B$  such that the hereditary  $C^*$ -subalgebra generated by  $B$  is  $A$ , and there is a  $*$ -homomorphism from  $B$  onto  $K$ .

LEMMA 1. Let  $A$  be a  $C^*$ -algebra with a fundamental approximate identity. Then there is a  $C^*$ -subalgebra  $B$  of  $A$  such that the hereditary  $C^*$ -subalgebra generated by  $B$  is  $A$ , and there is a  $*$ -homomorphism from  $B$  onto  $K$ .

*Proof.* Let  $\{e_n\}$  be a fundamental approximate identity for  $A$ , and put  $f_n = e_n - e_{n-1}$  ( $e_0 = 0$ ).

We claim that there are  $p_k^{(i)} \in A$ ,  $i \leq k$ , and  $u_k^{(i)} \in A$ ,  $i \leq k-1$ ,  $i = 1, 2, \dots$ ,  $k = 1, 2, \dots$ , such that

- (i)  $p_k^{(i)}$  are projections;
- (ii)  $p_k^{(i)} \leq p_l^{(i)} \leq f_i$ , if  $l \leq k$  and  $p_k^{(k)} = f_i$ ;
- (iii)  $(u_k^{(i)})(u_k^{(i)})^* = p_k^{(i)}$ ,  $(u_k^{(i)})^* (u_k^{(i)}) = f_k = p_k^{(k)}$ .

We shall prove the claim by induction on  $k$ . Assume that the claim is true for all  $k' \leq k$ . Since  $p_k^{(i)} \sim p_k^{(k)} = f_k \geq f_{k+1}$ , there are  $u_{k+1}^{(i)} \in A$  such that  $(u_{k+1}^{(i)})(u_{k+1}^{(i)})^* \leq p_k^{(i)}$ ,  $(u_{k+1}^{(i)})(u_{k+1}^{(i)})^*$  is a projection and  $(u_{k+1}^{(i)})^* (u_{k+1}^{(i)}) = f_{k+1} = p_{k+1}^{(k+1)}$ . Clearly  $p_{k+1}^{(i)}$ ,  $u_{k+1}^{(i)}$  satisfy (i), (ii), and (iii).

It is easy to see that the  $C^*$ -subalgebra  $A_k$  generated by  $u_k^{(i)}$ ,  $i \leq k-1$ , and  $p_k^{(i)}$ ,  $i \leq k$ , is isomorphic to  $M_k$  (the  $k \times k$  matrix algebra).

Let  $e'_k = \sum_{i=1}^k p_k^{(i)}$  and  $q$  be a weak limit point (in  $A^{**}$ ) of  $\{e'_k\}$ .

For fixed  $n$ ,  $e_n e'_k$  is decreasing and  $e_n e'_k = \sum_{i=1}^n p_k^{(i)}$ , for  $k \geq n$ , and is a decreasing sequence of projections. So  $e_n e'_k$  converges strongly to  $e_n q$ . Hence  $e_n q \in (A_+)_m$ . Thus  $e_n q$  is an upper semi-continuous function on the quasi-state space of  $A$  (see [17, 3.11]). By a standard compactness argument,  $e_n q \neq 0$  and  $e_n q$  is a projection in  $A^{**}$ . Now  $e_n q \uparrow q$ , so  $q$  is a nonzero projection in  $A^{**}$ . Furthermore,  $e'_k \rightarrow q$ , strongly.

Since  $e'_k$  commutes with every element in  $A_i$ ,  $i \leq k$ , we conclude that  $q$  commutes with every element in  $A_i$ . Let  $A_0$  be the  $C^*$ -algebra generated by  $\{A_i, i = 1, 2, \dots\}$ . Then  $q$  commutes with  $A_0$ , and thus there is a  $*$ -homomorphism from  $A_0$  onto  $qA_0$ . For fixed  $i$ , the same argument used above shows that  $p_k^{(i)} \downarrow p_i^{(i)} q \neq 0$ . It is easy to check that  $qA_i$  is isomorphic to  $M_i$ , and thus  $qA_0$  is isomorphic to  $K$ .

Since  $A_0$  contains  $\{e_k\}$ , the hereditary  $C^*$ -subalgebra generated by  $A_0$  is  $A$ .

**LEMMA 2.** *Let  $A$  be an antiliminal  $C^*$ -algebra with a fundamental approximate identity. Then there is a  $C^*$ -subalgebra  $B$  of  $A$  such that the hereditary  $C^*$ -subalgebra generated by  $B$  is  $A$  and there is a  $*$ -homomorphism from  $B$  onto  $C(X) \otimes K$  with  $X$  a compact Hausdorff space with a nonempty perfect subset.*

*Proof.* We keep the notations as in the proof of Lemma 1. Let  $\pi$  be the homomorphism  $A_0 \rightarrow qA_0 \cong K$ . Let  $\tilde{\pi}$  be an extension of  $\pi$  to  $A$ . Clearly  $\tilde{\pi}(\bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)}) \supset \pi(\bigcap_{k=1}^{\infty} p_k^{(1)} A_0 p_k^{(1)})$ . Since  $qp_1^{(1)} \in \pi(\bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)})$  and  $qp_1^{(1)} \neq 0$ , we conclude that  $\tilde{\pi}(\bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)}) \neq 0$ . Thus  $\bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)}$  is a nonzero hereditary  $C^*$ -subalgebra of  $A$ . Since  $A$  is an antiliminal,  $\bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)}$  is not type I. In particular,  $\bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)}$  is not scattered. Hence there is a  $a \in \bigcap_{k=1}^{\infty} p_k^{(1)} A p_k^{(1)}$  such that  $0 \leq a \leq 1$  and  $\sigma(a)$  has a nonempty perfect subspace (see [11]). Clearly  $a \leq p_k^{(1)}$  for all  $k$ . Since  $p_k^{(1)} \downarrow qp_1^{(1)}$ ,  $a \leq qp_1^{(1)}$ . Let  $p_i = p_i^{(i)} q$  ( $p_i$  a projection in  $A^{**}$ ). Let  $B_1$  be the  $C^*$ -subalgebra generated by  $p_1$  and  $a$ . Thus  $B_1 \cong C(X)$  with  $X$  a compact Hausdorff space with a nonempty perfect subset. Let  $u_i = u_{i+1}^{(i)}(q)$ ,  $i = 1, 2, \dots$ , and  $B_n$  be the  $C^*$ -subalgebra generated by  $p_i$ ,  $a$ , and  $u_i$ ,  $i = 1, 2, \dots, n$ . It is a routine exercise to check that  $B_n$  can be represented by  $n \times n$  matrices with entries in  $C(X)$ , or  $B_n \cong C(X) \otimes M_n$ . It is then easy to see that the  $C^*$ -subalgebra generated by  $\{B_n, n = 1, 2, \dots\}$  is isomorphic to  $C(X) \otimes K$ . Let  $A'$  be the  $C^*$ -subalgebra generated by  $A_0$  and  $a$ . Then  $q$  commutes with each element in  $A'$ . Moreover,  $qA' \cong C(X) \otimes K$ . Since  $A'$  contains  $\{e_n\}$ , the hereditary  $C^*$ -subalgebra generated by  $A'$  is  $A$ .

There exists a  $C^*$ -algebra  $A$  without fundamental approximate identity which has a  $C^*$ -subalgebra  $B$  such that the hereditary  $C^*$ -subalgebra generated by  $B$  is  $A$ , and there is a  $*$ -homomorphism from  $B$  onto  $K$ .

**EXAMPLES.** Let  $A_1 = C_0 \otimes M_n$ . Then it is easy to check that  $A_1$  has no fundamental approximate identity. Let  $A = A_1 \oplus K$ . Then  $A$  has no fundamental approximate identity, and there is a  $*$ -homomorphism from  $A$  onto  $K$ .

We have the following extension theorem.

**THEOREM 1.** *Let*

$$0 \rightarrow K \rightarrow A \rightarrow B \rightarrow 0$$

*be a short exact sequence of  $C^*$ -algebras. Suppose that  $B$  has a fundamental approximate identity. Then  $A$  has a fundamental approximate identity.*

*Proof.* We may assume that  $K$  is an ideal of  $A$ ,  $B = A/K$ , and  $\pi$  is the canonical homomorphism from  $A$  to  $A/K$ . Let  $\{\bar{e}_n\}$  be a fundamental approximate identity for  $A/K$  and  $f_n = \bar{e}_n - \bar{e}_{n-1}$  ( $e_0 = 0$ ),  $n = 1, 2, \dots$ . It follows from the projection-lifting theorem ([9, Lemma 9.7] or [5]) that there is a projection  $p_1 \in A$  such that  $\pi(p_1) = f_1$ . Suppose we have  $p_1, p_2, \dots, p_m$  in  $A$  such that  $\pi(p_i) = f_i$ ,  $p_i \lesssim p_{i+1}$ , and  $p_i$  are mutually orthogonal. Let  $v_m \in B$  such that  $v_m^* v_m$  is a projection in  $B$ ,  $v_m^* v_m \leq f_m$ , and  $v_m v_m^* = f_{m+1}$ . Let  $u \in A$  such that  $\pi(u) = v_m$ . Replacing  $u$  by  $(1 - \sum_{i=1}^m p_i) u p_m$ , we may assume that  $u^* u \in p_m A p_m$  and  $u u^* \in (1 - \sum_{i=1}^m p_i) A (1 - \sum_{i=1}^m p_i)$ . Since  $\pi(p_m - u^* u) = 0$ ,  $p_m - u^* u \in p_m K p_m$ . There is a projection  $q \in p_m K p_m$  with

$$\|(p_m - u^* u)(p_m - q)\| < 1.$$

Let  $x = (p_m - q) u^* u (p_m - q)$ , then

$$\|x - (p_m - q)\| = \|(p_m - q)(p_m - u^* u)(p_m - q)\| < 1,$$

since  $p_m - q \leq p_m$ . It follows that  $x$  is invertible in  $(p_m - q) A (p_m - q)$ . Thus we have a polar decomposition for  $u(p_m - q)$ . We take  $h = x^{1/2}$  and  $u_m = u(p_m - q) x^{-1/2}$  (the inverse is taken in  $(p_m - q) A (p_m - q)$ ), then  $u(p_m - q) = u_m h$ . So  $u_m$  is a partial isometry. Moreover,

$$\pi(u_m) = \pi(u) \pi(p_m - q) \pi(x^{-1/2}) = v_m,$$

since  $q \in \text{Ker } \pi$  and  $\pi(x) = v_m^* v_m = f_m$ . So  $u_m u_m^*$  is a projection and  $\pi(u_m u_m^*) = f_{m+1}$ .

Let  $p_{m+1} = u_m u_m^*$ . Since  $p_{m+1} \in (1 - \sum_{i=1}^m p_i) A (1 - \sum_{i=1}^m p_i)$ , it follows that  $p_{m+1} p_i = p_i p_{m+1} = 0$ , if  $i \leq m$ . Since  $u_m^* u_m$  is a projection in  $(p_m - q) A (p_m - q)$ ,  $u_m^* u_m \leq p_m$ , i.e.,  $p_{m+1} \lesssim p_m$ . Thus we have a sequence of projections  $\{p_m\}$  in  $A$  such that  $\pi(p_m) = f_m$ ,  $p_i p_j = 0$  if  $i \neq j$  and  $p_{i+1} \lesssim p_i$ . Let  $p = \sum_{i=1}^{\infty} p_i$ . Then  $p$  is an open projection in  $A^{**}$  and  $\{\sum_{i=1}^m p_i\}$  is an approximate identity for the hereditary  $C^*$ -subalgebra  $p A^{**} p \cap A$  of  $A$ . Let  $\pi^{**}$  be the extension of  $\pi$  to  $A^{**}$ . Since  $\pi^{**}(p)$  is the identity of  $B^{**}$ , we conclude that  $(1-p) \in K^{**} = M(K)$ . So  $(1-p) K(1-p) \subset K$ . We may assume that  $(1-p) K(1-p) \cong K$ . Hence  $(1-p) K(1-p)$  has a fundamental approximate identity  $\{\varepsilon_m\}$ . Let  $d_m = \varepsilon_m - \varepsilon_{m-1}$  ( $\varepsilon_0 = 0$ ),  $q_m = p_m + d_m$ , and  $e_m = \sum_{i=1}^m q_i$ . It is easy to check that  $q_{m+1} \lesssim q_m$  and  $e_m, q_m$  are projections. We claim that  $\{e_m\}$  is an approximate identity for  $A_0 = (p A^{**} p \cap A + K)^{\perp}$ .

Let  $y = x + z$ , where  $x \in p A^{**} p \cap A$ ,  $z \in K$ . We need only prove that  $\|y(1 - e_m)\| \rightarrow 0$ . Clearly,  $\|x(1 - e_m)\| \rightarrow 0$ . Since  $p z^* z p \in p A^{**} p \cap A$ ,

$$\begin{aligned} \|z p(1 - e_m)\|^2 &= \|(1 - e_m) p z^* z p(1 - e_m)\| \\ &\leq \|p z^* z p(1 - e_m)\| \rightarrow 0. \end{aligned}$$

Moreover,

$$\begin{aligned}\|z(1-p)(1-e_m)\|^2 &= \|(1-e_m)(1-p)z^*z(1-p)(1-e_m)\| \\ &\leq \|(1-p)z^*z(1-p)(1-\varepsilon_m)\| \rightarrow 0.\end{aligned}$$

So  $\{e_m\}$  is an approximate identity for  $A_0$ . Let  $a = \sum_{m=1}^{\infty} (1/2^m) e_m$ , then  $a$  is a strictly positive element of  $A_0$ . Let  $f$  be a positive linear functional on  $A$ . If  $f(K) = 0$ ,  $f$  can be viewed as a positive linear functional on  $A/K$ . Since  $\pi(a)$  is also a strictly positive element of  $A/K$ , we have  $f(a) > 0$ . If  $f(K) \neq 0$ , then clearly  $f(a) = f(\sum_{m=1}^{\infty} (1/2^m)(\sum_{i=1}^m p_i)) + f(\sum_{m=1}^{\infty} (1/2^m) \varepsilon_m) > 0$ . Thus,  $A_0$  has an element  $a$  which is strictly positive in  $A$ . We conclude that the hereditary  $C^*$ -subalgebra generated by  $A_0$  is  $A$ . Finally, we conclude that  $\{e_n\}$  is a fundamental approximate identity for  $A$ .

Let  $A$  be a separable simple  $AF C^*$ -algebra and  $G$  its simple dimension group. Fix  $u \in G^+ \setminus \{0\}$ . Let  $S_u(G)$  be the collection of positive (i.e.,  $\tau(G^+) \geq 0$ ) homomorphisms  $\tau: G \rightarrow \mathbf{R}$  with  $\tau(u) = 1$ . Then  $S_u(G)$  is a convex compact subset of the locally convex space  $\mathbf{R}^G$  of all functions  $f: G \rightarrow \mathbf{R}$  with the product topology. Moreover,  $S_u(G)$  is a Choquet simplex (see [9, Chap. 4]), i.e., each point of  $S_u(G)$  is the barycenter of a unique probability measure on the set of extreme points  $E(S_u(G))$ . A Choquet simplex is called Bauer simplex if the set of extreme points is closed. Let  $g(\tau) = \sup\{\tau([e_n])\}$ ,  $n = 1, 2, \dots$ , where  $\{e_n\}$  is an approximate identity of  $A$  consisting of projections. We say  $A$  has a continuous scale if  $g(\tau)$  is a continuous function on  $S_u(G)$  for some (hence for all)  $u \in G^+ \setminus \{0\}$ .

**THEOREM 2.** *Let  $A$  be a nonunital, separable simple  $AF C^*$ -algebra. Then  $A$  has a fundamental approximate identity, if one of the following conditions holds:*

- (1)  $A$  is stable;
- (2)  $A$  has a continuous scale;
- (3)  $S_u(G)$  is a Bauer simplex for some  $u \in G^+ \setminus \{0\}$ .

*Proof.* (1)  $A$  is stable. Then  $A$  has no finite trace. Let  $p$  be a nonzero projection of  $A$ . By the proof of Theorem 4.10 in [4],  $A$  is isomorphic to  $pAp \otimes K$ . Since  $pAp$  is unital,  $A$  has a fundamental approximate identity.

(3)  $S_u(G)$  is a Bauer simplex. Let  $\Gamma(G)$  be the scale of  $A$ . Let  $\theta: G \rightarrow \text{Aff}(S_u(G))$  be its affine representation (see [9, Chap. 4]), and let  $H = \theta(G)$ . It follows from [9, Corollary 4.2] that  $G^+ = \{a \in G: \theta(a) \geq 0\} \cup \{0\}$  and  $\Gamma(G) \cap \text{Ker } \theta = \{0\}$ . So  $\theta$  maps  $\Gamma(G)$  bijectively to a scale of  $H$  and preserves the order.

Suppose that  $A$  is a  $C^*$ -algebraic inductive limit of  $A_n$ , where each  $A_n$  is a finite-dimensional  $C^*$ -algebra. If  $e_n$  is the identity of  $A_n$  then  $\{e_n\}$  forms

an approximate identity. Let  $g = \sup\{\theta([e_n]): r = 1, 2, \dots\}$ , so that  $g$  is an affine function (the range may include infinity) on the Bauer simplex  $S_u(G)$ . We claim that  $\theta(\Gamma(G)) = \{f \in H: 0 < f < g \text{ or } f = 0\}$ . For each projection  $p \in A$ , there is an  $n$  such that  $\|a - p\| < \frac{1}{2}$  for some  $a \in (A_n)_{s.a.}$ . It follows from [9, Lemmas A.81 and A.82] that  $p \sim q$  for some projection  $q \in A_n$ . Thus  $e_n \succeq p$ . Hence  $\theta([p]) < g$ . If  $f \in H$ , and  $0 < f < g$ , then for each  $t \in S_u(G)$ , there is an integer  $n$  such that

$$\theta([e_n])(t) > f(t).$$

Since both  $\theta([e_n])$  and  $f$  are continuous, there is a neighborhood  $U(t)$  of  $t$  such that

$$\theta([e_n])(t) > f(t), \quad \text{for all } t \in U(t).$$

By the compactness of  $S_u(G)$ , we may assume that

$$\theta([e_n])(t) > f(t), \quad \text{for all } t \in S_u(G).$$

By [9, p. 43],  $f \in \theta(\Gamma(G))$ .

Let  $E[S_u(G)]$  be the (closed) set of extreme points of  $S_u(G)$ . It is easy to find a sequence of continuous functions  $\{g_n\}$  on  $E[S_u(G)]$  that converges to  $g$  on  $E[S_u(G)]$  satisfying (i)  $g_n > 0$ , (ii)  $g_n \uparrow g$ , (iii)  $g_{n+1} - g_n < g_n - g_{n-1}$ . It follows from [2, Theorem II.4.3] or [3] that we can extend the  $g_n$ 's affinely and uniquely to  $S_u(G)$ . We still use the notations  $g_n$  for the extensions. Since  $H$  is dense in  $\text{Aff}(S_u(G))$  (see [9, Theorem 4.4]), we may assume that  $g_n \in H$ . Thus  $g_n \in \theta(\Gamma(G))$ .

Let  $q_k$  be projections in  $A$  such that  $\theta([q_k]) = g_k$ . Let  $m(k) = \min\{n: [q_k] \leq [e_n]\}$ , and  $N(k) = \min\{n: [e_{m(k)}] \leq [q_n]\}$ . By a compactness argument, we can show easily that both  $m(k)$  and  $N(k)$  go to infinity as  $n$  goes to infinity. We now construct a sequence of projections  $\{p_k\} \subset A$ . Take  $p_{N(1)} \in A_{m(N(1))}$  such that  $p_{N(1)} \geq e_{m(1)}$  and  $[p_{N(1)}] = [q_{N(1)}]$ . We may assume that  $q_i \leq p_{N(1)}$ ,  $i = 1, 2, \dots, N(1)$ . Since  $q_i \leq q_{i+1}$ , we can find  $p_i \leq p_{N(1)}$  such that  $p_{i-1} \leq p_i$ ,  $[p_i] = [q_i]$ ,  $i = 1, 2, \dots, N(1)$  ( $p_0 = 0$ ). Take  $p_{N^*(2)} \in A_{m^*(2)}$ , where  $N^*(2) = \max\{N(m(N(1))), N(2)\}$ ,  $m^*(2) = \max\{m(N(m(N(1))))\}$ ,  $m(N(2))$ , such that with  $j = \max\{m(N(1)), m(2)\}$ ,  $p_{N^*(2)} \geq e_j$  ( $\geq q_i \geq p_{N(1)}$ ,  $i = N(1) + 1, N(1) + 2, \dots, N^*(2) - 1$ ), and  $[p_{N^*(2)}] = [q_{N^*(2)}]$ . So we can find  $p_i \in A_j$  such that  $p_{N(1)} \leq p_{i-1} \leq p_i$  ( $\leq p_{N^*(2)}$ ),  $i = N(1) + 1, N(1) + 2, \dots, N^*(2) - 1$ . Continuing by induction, we obtain a sequence of projections  $\{p_k\}$  in  $A$  such that  $p_k \leq p_{k+1}$ ,  $[p_k] = [q_k]$ , and  $p_{N^*(k)} \geq e_{m(k)}$ . So  $\{p_k\}$  forms an approximate identity. Since  $[p_{k+1} - p_k] = [q_{k+1}] - [q_k]$ , by the construction of  $g_n$ ,  $[p_{k+1} - p_k] \leq [p_k - p_{k-1}]$ . So  $p_{k+1} - p_k \leq p_k - p_{k-1}$ . We conclude that  $\{p_k\}$  is a fundamental approximate identity.

(2)  $A$  has a continuous scale. Let  $g$  be as in (3). By definition,  $g$  is continuous, and it is clear that  $\theta([e_n]) \uparrow g$ . By Dini's theorem,  $g - \theta([e_n]) \downarrow 0$  uniformly on  $S_u(G)$ . We define  $\{n_k\}$  recursively as follows: if  $n_k$  has been defined, we can find  $n_{k+1}$  such that

$$\sup_{t \in S_u(G)} \{g(t) - \theta([e_{n_{k+1}}])(t)\} \leq \frac{1}{2} \inf_{t \in S_u(G)} \{g(t) - \theta([e_{n_k}])(t)\}.$$

Let  $f_k = e_{n_k} - e_{n_{k-1}}$  ( $e_0 = 0$ ). Then  $[f_k] > [f_{k+1}]$ . Hence  $f_k \geq f_{k+1}$ . We see that  $\{e_{n_k}\}$  forms a fundamental approximate identity for  $A$ .

Let  $A$  be a nonelementary simple  $AF C^*$ -algebra described in Theorem 2. Since  $A$  is antiliminal, we may assume that  $A \subset B(H)$ , the bounded linear operators on a separable Hilbert space, and  $A \cap K = \{0\}$ . Let  $\pi$  be the homomorphism  $B(H) \rightarrow B(H)/K$ .  $\pi(A) \cong A$ . Let  $B = \pi^{-1}(A)$ . By Theorem 1,  $B$  has a fundamental approximate identity.

### 3. QUASI-MULTIPLIERS

Recall that a  $C^*$ -algebra is scattered if it is type I and has scattered spectrum  $\hat{A}$  (see [11]). Let  $X$  be a scattered topological space. We define  $X_{[0]} = X$ ,  $X_{[1]} = X \setminus \{\text{isolated points of } X\}$ . If  $X_{[\alpha]}$  is defined for some ordinal number  $\alpha$ , define  $X_{[\alpha+1]} = X_{[\alpha]} \setminus \{\text{isolated points of } X_{[\alpha]}\}$ ; if  $\beta$  is a limit ordinal, define  $X_{[\beta]} = \bigcap_{\alpha < \beta} X_{[\alpha]}$ . We define  $\lambda(X) = \alpha$ , if  $\alpha$  is the least ordinal such that  $X_{[\alpha]}$  is discrete. Since  $X$  is scattered,  $\lambda(X)$  is well defined.

The following is a generalization of [15, Theorem 6.3].

**THEOREM 3.** *Let  $A$  be a  $C^*$ -algebra with a fundamental approximate identity and  $B$  a unital  $C^*$ -algebra. Then  $QM(B \otimes A) = LM(B \otimes A) + RM(B \otimes A)$  implies that  $B$  is scattered and  $\lambda(\hat{B}) < \infty$ .*

*Proof.* It follows from Lemma 1 that there is a  $C^*$ -subalgebra  $A_0$  of  $A$  such that the hereditary  $C^*$ -subalgebra generated by  $A_0$  is  $A$  and there is a  $*$ -homomorphism from  $A_0$  onto  $K$ . Thus the hereditary  $C^*$ -subalgebra generated by  $B \otimes A_0$  is  $B \otimes A$  and there is a homomorphism  $\varphi$  such that  $\varphi(B \otimes A_0) = B \otimes K$ . By Theorem 3.1 of [15], if  $QM(B \otimes A) = LM(B \otimes A) + RM(B \otimes A)$ , then  $QM(B \otimes A_0) = LM(B \otimes A_0) + RM(B \otimes A_0)$ . Since  $\varphi(B \otimes A_0) = B \otimes K$ , it follows from Theorem 6.3 of [15] that  $B$  is scattered and  $\lambda(\hat{B}) < \infty$ .

**THEOREM 4.** *Let  $A$  be an antiliminal  $C^*$ -algebra with a fundamental approximate identity. Then  $QM(A) \neq LM(A) + RM(A)$ .*

*Proof.* By Lemma 2, there is a  $C^*$ -algebra  $B$  such that the hereditary  $C^*$ -subalgebra generated by  $B$  is  $A$ , and there is a  $*$ -homomorphism from

$B$  onto  $C(X) \otimes K$  with  $X$  being a compact Hausdorff space with a non-empty perfect subset. It follows from Theorem 3.1 of [15] that if  $QM(A) = LM(A) + RM(A)$ , then  $QM(B) = LM(B) + RM(B)$ . However, by Theorem 6.3 of [15],  $QM(B) \neq LM(B) + RM(B)$ .

By Theorems 2 and 4, the quasi-multipliers of nonelementary simple  $AFC^*$ -algebras described in Theorem 2 are not the left multipliers plus the right multipliers. However, we have the following more precise theorem.

**THEOREM 5.** *Let  $A$  be a separable simple  $AFC^*$ -algebra. Then  $QM(A) = LM(A) + RM(A)$  if and only if  $A$  is unital or  $A$  is isomorphic to  $K$ .*

*Proof.* If  $A$  is unital or  $A$  is isomorphic to  $K$ , then  $QM(A) = M(A)$ .

Now suppose  $A$  is a nonelementary and nonunital simple  $AFC^*$ -algebra.

Let  $\{e_n\}$  be an approximate identity for  $A$  consisting of projections. Let  $G$  be the simple dimensional group of  $A$  with scale  $\Gamma(G)$ . Fix  $[e_1] = u \in G^+ \setminus \{0\}$ , and let  $\theta: G \rightarrow \text{Aff}[S_u(G)]$  be its affine representation (see [9, Chap. 4]). Let  $g_n = \theta([e_n]) \in \theta(\Gamma(G))$ . Put  $h_1 = g_1$ . There is  $h_2 \in \theta(\Gamma(G))$  such that  $h_2 > g_1$  and  $0 < g_2 - h_2 < h_1$ . By induction we may construct a sequence  $\{h_k\} \subset \theta(\Gamma(G))$  such that  $h_{2k-1} = g_k$ ,  $g_k < h_{2k} < g_{k+1}$ , and  $g_{k+1} - h_{2k} < \min_{i < 2k} (h_i - h_{i-1})$ . In fact, if  $h_1, \dots, h_{2k}$  have been constructed, let  $h_{2k+1} = g_{k+1}$ . Find  $h_{2(k+1)} \in \theta(\Gamma(G))$  such that  $g_{k+1} < h_{2(k+1)} < g_{k+2}$  and  $g_{k+2} - h_{2k+2} < \min_{i < 2k+2} (h_i - h_{i-1})$ . This is possible since every  $h \in \theta(G)$  with  $0 < h < g_{k+2}$  belongs to  $\theta(\Gamma(G))$ , and  $\theta(G)$  is dense in  $\text{Aff}[S_u(G)]$ . So we have projections  $\{e'_n\} \subset A$  such that  $e'_{2k-1} = e_k$ ,  $e_k < e'_{2k} < e_{k+1}$ , and  $e'_{2k+1} - e'_{2k} \lesssim e'_n - e'_{n-1}$  for all  $n < 2k$ .

We claim that there are  $p_k^{(i)} \in A$ ,  $i \leq 2k+1$ ,  $i = 1, 2, \dots$ , and  $k = 1, 2, \dots$ , and  $u_k^{(i)} \in A$ ,  $i \leq 2k$ ,  $i = 1, 2, \dots$ , and  $k = 1, 2, \dots$ , such that

- (i)  $p_k^{(i)}$  are projections;
- (ii)  $p_k^{(i)} \leq p_l^{(i)} \leq e'_i - e'_{i-1}$ , if  $l \leq k$  and  $p_k^{(2k+1)} = e'_{2k+1} - e'_{2k}$ ;
- (iii)  $(u_k^{(i)})(u_k^{(i)})^* = p_k^{(i)}$ ,  $(u_k^{(i)})^* (u_k^{(i)}) = p_k^{(2k+1)}$ .

We shall prove the claim by induction on  $k$ . Suppose that the claim is true for  $k' \leq k$ . Since  $p_k^{(i)} \sim p_k^{(2k+1)} = e'_{2k+1} - e'_{2k} \gtrsim e'_{2k+3} - e'_{2k+2}$ , there are  $u_{k+1}^{(i)} \in A$  such that  $(u_{k+1}^{(i)})^* (u_{k+1}^{(i)}) = p_{k+1}^{(2k+3)} = e'_{2k+3} - e'_{2k+2}$ ,  $(u_{k+1}^{(i)})(u_{k+1}^{(i)})^* = p_{k+1}^{(i)} \leq p_k^{(i)}$ , where  $p_{k+1}^{(i)}$  are projections. Clearly,  $u_{k+1}^{(i)}$ ,  $p_{k+1}^{(i)}$  satisfy (i), (ii), and (iii).

Let  $\varepsilon_k = \sum_{i=1}^{2k+1} p_k^{(i)}$  and  $q$  be a weak limit point of  $\{\varepsilon_k\}$ . For fixed  $n$ ,  $e'_{2n+1}\varepsilon_k$  is decreasing and  $e'_{2n+1}\varepsilon_k = \sum_{i=1}^m p_k^{(i)}$ , where  $m = \min(2k+1, 2n+1)$  are projections. As in the proof of Lemma 1,  $e'_{2n+1}$ ,  $q$  and  $q$  are nonzero projections in  $A^{**}$ , and  $\varepsilon_k \rightarrow q$ , strongly.



Let  $A_k$  be the  $C^*$ -subalgebra generated by  $p_k^{(i)}$  and  $u_k^{(i)}$ ,  $i \leq 2k+1$ . Then  $A_k$  is isomorphic to  $M_{2k+1}$ . Let  $A_0$  be the  $C^*$ -subalgebra generated by  $\{A_k, k=1, 2, \dots\}$ . As in the proof of Lemma 1,  $q$  commutes with each element in  $A_0$  and  $A_0$  is isomorphic to  $qA_0 \cong K$ .

As in the proof of Lemma 2, there is  $a$  in  $\bigcap_{k=1}^{\infty} p_k^{(1)} A P_k^{(1)}$  such that  $0 \leq a \leq qp_1^{(1)}$  and  $\sigma(a)$  has a nonempty perfect subset. If  $B$  is the  $C^*$ -subalgebra generated by  $a$  and  $A_0$ , then by the proof of Lemma 2, there is a  $*$ -homomorphism from  $B$  onto  $C(X) \otimes K$ . It follows from [15, Theorem 6.3] that  $QM(B) \neq LM(B) + RM(B)$ . If  $\varepsilon'_m = \sum_{i=1}^m (p_i^{(2i+1)})$ , then  $\{\varepsilon'_m\}$  forms an approximate identity for  $B$  and  $\varepsilon'_m \varepsilon'_n = \varepsilon'_n \varepsilon'_m = \varepsilon'_n$ , if  $m > n$ . There is  $x \in QM(A)_{\text{s.a.}}$  such that for every  $m_k$

$$\sup \left\{ \left\| \sum_{k=1}^N (1 - \varepsilon'_{m_k}) x (\varepsilon'_{m_k} - \varepsilon'_{m_{k-1}}) \right\| \right\} = \infty$$

(by [15, Theorem 2.3]). For every  $m$ ,

$$\begin{aligned} e'_{2m+1} x e'_{2m+1} &= e'_{2m+1} \sum_{i=1}^{\infty} (p_i^{(2i)} + p_i^{(2i+1)}) x \sum_{i=1}^{\infty} (p_i^{(2i)} + p_i^{(2i+1)}) e'_{2m+1} \\ &= e'_{2m+1} \sum_{i=1}^m (p_i^{(2i)} + p_i^{(2i+1)}) x \sum_{i=1}^m (p_i^{(2i)} + p_i^{(2i+1)}) e'_{2m+1} \\ &= \varepsilon'_m x \varepsilon'_m \in B \subset A. \end{aligned}$$

Since  $x \in B^{**} \subset A^{**}$ , we conclude that  $x \in QM(A)_{\text{s.a.}}$ . For every  $m_k$ ,

$$\begin{aligned} &\left\| \sum_{k=1}^N (1 - e'_{2(m_k)+1}) x (e'_{2(m_k)+1} - e'_{2(m_{k-1})+1}) \right\| \\ &= \left\| \sum_{k=1}^N (1 - \varepsilon'_{m_k}) x (\varepsilon'_{m_k} - \varepsilon'_{m_{k-1}}) \right\|. \end{aligned}$$

Since  $\{e'_{2m+1}\}$  is an approximate identity satisfying  $e'_{2m+1} e'_{2n+1} = e'_{2n+1} e'_{2m+1} = e'_{2n+1}$  if  $m > n$ , by [15, Theorem 2.3],  $x \notin LM(A) + RM(A)$ .

**COROLLARY 1.** *Let  $A$  be a nonelmentary separable matroid  $C^*$ -algebra without identity. Then*

$$QM(A) \neq LM(A) + RM(A).$$

**COROLLARY 2.** *For each  $C^*$ -algebra  $A$  which is not of type I, there is a  $C^*$ -subalgebra  $B$  of  $A$  such that  $QM(B) \neq LM(B) + RM(B)$ .*

*Proof.* By [17, 6.7.4], there is a  $C^*$ -subalgebra  $B_1$  of  $A$  such that there is a  $*$ -homomorphism from  $B_1$  onto a nonelementary separable matroid

$C^*$ -algebra  $M_\infty$  with identity. Let  $\{p_n\}$  be an increasing sequence of projections of  $M_\infty$  such that  $p_n$  are strictly smaller than the identity. Let  $M'_\infty = (\bigcup p_n M_\infty p_n)^\perp$ . Then  $M'_\infty$  is a nonunital, nonelementary, and separable matroid  $C^*$ -algebra. Let  $\varphi$  be the homomorphism of  $B_1$  onto  $M_\infty$ ,  $B = \varphi^{-1}(M'_\infty)$ . By Corollary 1,  $QM(B) \neq LM(B) + RM(B)$ .

However, as in [15, Example 8.3], we know that there is an antiliminal  $C^*$ -algebra  $A$  such that  $M(A) \neq QM(A)$  but  $QM(A) = LM(A) + RM(A)$ .

*Question.* Is it true that the only simple  $C^*$ -algebras  $A$  with  $QM(A) = LM(A) + RM(A)$  are unital or  $K$ ?

We have the following weaker result.

**THEOREM 6.** *Suppose that  $A$  is a  $\sigma$ -unital simple  $C^*$ -algebra. Then  $QM(A) = M(A)$  if and only if  $A$  is unital or  $A$  is isomorphic to  $K$ .*

*Proof.* We assume that  $A$  is nonunital and not isomorphic to  $K$ . Let  $\{e_n\}$  be an approximate identity satisfying  $e_n e_m = e_m e_n = e_m$  if  $n > m$ . Let  $f_n = e_n - e_{n-1}$  ( $e_0 = 0$ ), then  $f_n f_{n+j+1} = f_{n+j+1} f_n = 0$ , if  $j = 1, 2, \dots$ . If  $e_k A e_k$  are finite dimensional for all  $k$ , then  $A$  is a separable  $AF$  algebra. By Theorem 4,  $QM(A) \neq M(A)$ . Thus we may assume that  $e_1 A e_1$  is infinite dimensional. Let  $\{p_i\}$  be a sequence in  $e_1 A e_1$  such that  $p_i \in A_+$  and  $p_i p_j = 0$  if  $i \neq j$ .

Let  $J = \{a \mid f_k x a = 0 \text{ for all } x \in A\}$ . Then  $J$  is a closed ideal and  $J \neq A$  ( $f_k \notin J$ ). Since  $A$  is simple,  $J = \{0\}$ . Thus for every  $k$ , there is  $x_k \in A$  such that  $y_k = f_k x_k p_k \neq 0$  and  $\|y_k\| = 1$ . Define  $y = \sum_{k=1}^\infty y_k$ . It can be shown as in [15, Lemma 2.2] that  $\|y\| \leq 2$  and  $y \in A^{**}$ . For every  $e_n$ ,  $e_n y = e_n \sum_{k=1}^{n-1} y_k \in A$ . Hence  $y \in RM(A)$ . However,  $y \notin M(A)$ . In fact,  $y e_2 = \sum_{k=1}^\infty y_k e_2 = \sum_{k=1}^\infty y_k = y$ . So we need only show that  $y \notin A$ . For every  $k$ ,  $(1 - e_k) y = \sum_{i=k+1}^\infty y_i + (1 - e_k) y_k$ . Let  $\pi$  be a faithful representation of  $A^{**}$  on  $H_\pi$  and  $v_i \in q_i H_\pi$ , where  $q_i$  is the range projection of  $p_i$ . Then  $\|(1 - e_k) y v_i\| = \|y_i v_i\|$ , if  $i > k$ . We may take  $v_i$  such that  $\|y_i v_i\| > \frac{1}{2}$ . Thus  $\|(1 - e_k) y\| > \frac{1}{2}$  for all  $k$ , which implies that  $y \notin A$ .

#### 4. APPENDIX

In [5], L. G. Brown showed the connection between the problem of whether  $QM(A) = LM(A) + RM(A)$  and the problem of perturbations of  $C^*$ -algebras. Perturbations of  $C^*$ -algebras have been considered in several different ways (see [7, 8, 12–14]). One of them is to ask whether an almost isometric ( $\|\varphi\| - 1$  and  $\|\varphi^{-1}\| - 1$  are small) complete order automorphism of a  $C^*$ -algebra is close to an isometry.

THEOREM 7 (Brown [7]). *If  $A$  is a  $\sigma$ -unital simple  $C^*$ -algebra and  $QM(A) \neq LM(A) + RM(A)$ , then there exists a sequence  $\{\varphi_n\}$  of complete order automorphisms of  $A$  such that  $\lim_{n \rightarrow \infty} \|\varphi_n\| = 1$  and*

$$\lim_{n \rightarrow \infty} \|\varphi_n^{-1}\| = 1$$

*but  $\inf\{\|\theta - \varphi_n\|, n = 1, 2, \dots, \theta \text{ automorphisms of } A\} > 0$ .*

COROLLARY 3. *If  $A$  is a separable, nonelementary simple  $AF C^*$ -algebra without identity, then there exists a sequence  $\{\varphi_n\}$  of complete order automorphisms of  $A$  such that*

$$\lim_{n \rightarrow \infty} \|\varphi_n\| = 1 \quad \text{and} \quad \lim_{n \rightarrow \infty} \|\varphi_n^{-1}\| = 1$$

*but*

$$\inf\{\|\theta - \varphi_n\|, n = 1, 2, \dots, \theta \text{ automorphisms of } A\} > 0.$$

*Proof.*  $QM(A) \neq LM(A) + RM(A)$ , so Theorem 7 applies.

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